BLAKELY SOKOLOFF TAYLOR & ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

ACSIMILE (310) 207-3800

(310) 820-5270

BSTZ_MAIL@BSTZ.COM WWW.BSTZ.COM INTELLECTUAL PROPERTY LAW

12400 WILSHIRE BOULEVARD SEVENTH FLOOR LOS ANGELES, CALIFORNIA 90025-1030 OTHER OFFICES

ORANGE COUNTY/COSTA MESA, CA SILICON VALLEY/SUNNYVALE, CA DENVER, CO PORTLAND/BEAVERTON, OR SEATTLE; WA

September 8, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate

SEP 1 4 2006

Correction

Re:

CERTIFICATE OF CORRECTION

U. S. Letters Patent No. 7,039,078

Issued: May 2, 2006

For: SEMICONDUCTOR OPTICAL MODULATOR AND LASER

WITH OPTICAL MODULATOR

Inventor: Matsuoka et al. Our File No. 096790.P439

Dear Sir:

Enclosed is a Certificate of Correction (two copies) for the above-referenced patent.

This request for correction is made under rule 322 of the Rules of Practice and 35 U.S.C. Section 254.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman Reg. No. 30,139

ESH/nsf Enclosures: PTO Form- 1449

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.: 7,039,078 DATED: May 2, 2006

INVENTOR(S): Matsuoka et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim #10, Line #12, please delete "baffler" and insert - - barrier - -.

In Claim #17, Line #1, please delete "11" and insert - -10 - -.

MAILING ADDRESS OF SENDER:

PATENT NO. 7,039,078

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 12400 Wilshire Blvd. 7th floor Los Angeles, CA 90025-1030

Certificate of Correction (PTO Form 1050)-Amended

<u>1 of 1</u>

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